

國立陽明交通大學學位論文延後公開處理辦法

110 年6月2日本校109 學年度第5次行政會議通過

110 年7月28日本校109學年度第2次臨時行政會議修正通過

114年9月3日本校114 學年度第1次行政會議通過

第一條 國立陽明交通大學（以下簡稱本校）為執行學位授予法第十六條有關博士、碩士學位論文：「涉及機密、專利事項或依法不得提供，並經學校認定者，得不予提供或於一定期間內不為提供。」特訂定延後公開處理辦法（以下簡稱本辦法）。

第二條 本校博士、碩士學位論文（以下簡稱學位論文）之公開處理依著作權法第 15 條第 2 項「推定著作人同意公開發表其著作」所列情形之第 3 款「依學位授予法撰寫之碩士、博士論文，著作人已取得學位者。」以公開為原則。

第三條 學位論文涉及機密、專利事項或依法不得提供者，學生應於進行學位考試前填具延後公開申請書，敘明理由及其相關證明，經研究生、指導教授親筆簽名，並於學位考試時由考試委員審核。

第四條 學位論文延後公開的審核要件：

(一)涉及機密或依法不得提供者，應提出適用法規或具體事實證據。

(二)因專利事項，應提供申請專利案號或提出相關申請說明。

第五條 延後公開應訂定合理期限，依實際需求設定延後公開日期，自學位考試日起算至多五年，若超過五年或未填寫延後公開日期者，則以五年期限辦理。

第六條 期限到期仍有延後公開需求，學位論文著作人須於到期前向所屬單位系所提出申請。再次延後公開的申請，應於公開日期到期前完成所有申請程序。

第七條 再次申請的審核，應取得原所有學位考試委員審核或經所屬單位系所(或學院級)務會議審議確認。審核要件仍依第四條條文說明提供再次受審的相關具體說明或事證。並檢附相關審議通過紀錄，會辦圖書館。

第八條 學位論文電子檔若同意依著作權法規定授權重製、公開傳輸，或其他涉及著作權之行為者，得檢附親筆簽名之學位論文電子檔著作權授權書送交圖書館處理。

第九條 本辦法由行政會議通過後實施，修正時亦同。

NYCU Regulations for Embargo of Thesis/Dissertation

Passed by the 5th executive meeting of the 109 academic year on June 2, 2021.

Revised and passed by the 2nd NYCU Interim Executive Meeting on July 28, 2021.

Passed by the 1st executive meeting of the 114 academic year on September 3, 2025.

Article 1: Pursuant to Article 16 of the *Degree Conferral Law*, which permits a university to restrict public access to a master's or doctoral thesis involving confidential information, patent matters, or other content prohibited by law, National Yang Ming Chiao Tung University (hereinafter "the University") hereby establishes the Regulations for Embargo of Thesis/Dissertation (hereinafter "the Regulations").

Article 2: In accordance with Subparagraph 3, Paragraph 2, Article 15 of the *Copyright Law*, a master's or doctoral dissertation produced under the *Degree Conferral Act*, once the degree has been conferred, shall be deemed publicly accessible in principle.

Article 3: If a thesis/dissertation involves confidential information, patent matters, or legal restrictions, the student shall submit an Application for Embargo before the final defense.

The application shall:

- 1.State the reasons and include supporting documents.
- 2.Bear the handwritten signatures of both the student and the thesis advisor.
- 3.Be reviewed by the degree examination committee at the final defense.

Article 4: Applications shall meet the following requirements:

- 1.For confidential or legally restricted content: provide the applicable laws/regulations or concrete factual evidence.
- 2.For patent matters: provide a patent application number or relevant application information.

Article 5: The embargo period shall be set reasonably based on actual needs. The maximum period is five years from the date of the final defense. If no period is specified or if the requested period exceeds five years, the embargo shall be set at five years.

- Article 6: If an extension of the embargo is required beyond the initial expiration date, the author must submit an application to their affiliated department/unit before the expiration date. Any application for a further extension of the embargo must complete all application procedures prior to the scheduled release date.
- Article 7: The review of a subsequent embargo application shall be conducted with approval from all original members of the degree examination committee, or be confirmed through deliberation by the affiliated department/unit council. The review must still comply with the requirements set forth in Article 4, providing detailed explanations or concrete factual evidence for reconsideration. Relevant records of the approval process shall be attached and forwarded to the library.
- Article 8: Electronic files of theses/dissertations authorized under the *Copyright Law* for reproduction, public transmission, or other uses shall be accompanied by the Thesis/Dissertation Copyright Authorization Form with a handwritten signature and submitted to the library.
- Article 9: The regulations shall take effect following approval by the Executive Council. The same procedure applies to any amendments.